

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Alexander WARTINI, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/07455

GAU:

FILED: Herewith

EXAMINER:

FOR: METHOD FOR PRODUCING MACROCYCLIC KETONES BY MEANS OF DIECKMANN CONDENSATION
IN THE GAS PHASE

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

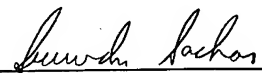
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Norman F. Oblon
Registration No. 24,618

Customer Number
22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar
Registration No. 34,423

10/519549

DT01 Rec'd PCT/PTC 28 DEC 2004

New U.S. PCT Application Based on PCT/EP03/07455
Docket No.: 263100US0PCT

STATEMENT OF RELEVANCY

- 1) References AA-AC, AO-AQ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AW-AY are discussed in the specification. A copy of these references is being submitted here with.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Form PTO 1449
(Modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

263100US0PCT

SERIAL NO. 10/519549
New U.S. PCT Application
Based on PCT/EP03/07455

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Alexander WARTINI, et al.

FILING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	1 702 843	02/19/29	RUZICKA, Leopold			
	AB	1 702 842	02/19/29	RUZICKA, Leopold			
	AC	4 335 261	06/15/82	UEDA, Yoichiro			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	0 352 674	01/31/90	EP (equivalent of US 4950763)		NO
	AP	0 400 509	12/05/90	EP (equivalent of US 5120880)		NO
	AQ	0 251 111	01/07/88	EP (equivalent of US 4745228)		NO
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	TSUJI, Jiro et al. "Application of Olefin Metathesis to Organic Synthesis. Syntheses of Civetone and Macrolides", Tetrahedron Letters, vol. 21, pages 2955-2958 1980				
	AX	CHOO, Yuen-May et al. "Synthesis of Civetone from Palm Oil Products", JAOCS, vol. 71, no. 8, pages 911-913 1994				
	AY	BOST, Howard W. et al. "Cycloheptadecanone from Dimethyl Octadecanedioate via a One-Step Catalytic Process", Perfumer & Flavorist, vol. 7, page 57 1982				
	AZ					<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.